



SmartSOX



for SAP systems

**ACHIEVING
COMPLIANCE
IN THE
QUICK-SERVICE
INDUSTRY**

WWW.SMARTSOX.IO



EMPOWERING A GLOBAL QUICK-SERVICE RESTAURANT POWERHOUSE

INTRODUCTION

In an era where quick service is not just an option but a necessity, our client stands out as a leader in the industry. With an expansive presence across over 100 countries, boasting more than 30,000 restaurants and achieving an astonishing \$40 billion in system-wide annual sales, this conglomerate has firmly established its dominance.

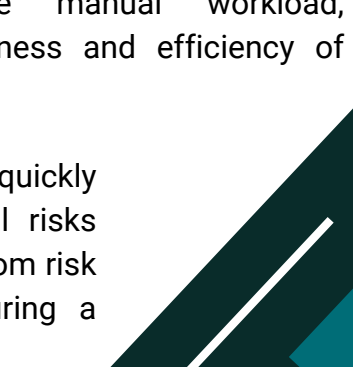
THE COMPLIANCE CONUNDRUM

Navigating the complexities of regulatory compliance on a global scale, particularly for a publicly traded company in the United States, presents a daunting challenge. SOX compliance mandates rigorous controls over the information systems that underpin financial reporting processes, crucial for maintaining data integrity, confidentiality, and availability. The sheer volume of financial data and the necessity to consolidate this across a multitude of business entities amplifies the challenge, raising a critical question: How can the organization leverage its vast data to focus audit efforts effectively, rather than relying on random selection?

AN INNOVATIVE SOLUTION

Enter SmartSOX for SAP, the cornerstone of an innovative strategy to tackle these challenges head-on. The solution's Audit Report feature revolutionizes audit sample selection by employing an intelligent algorithm to scrutinize SAP production systems. This algorithm identifies changes impacting installed IT controls and flags suspicious modifications outside established protocols or the software development lifecycle. This rigorous, data-driven approach ensures a targeted and efficient audit process, bringing into focus the areas most critical for investigation.

The implementation of SmartSOX has heralded a new era of efficiency and precision in the audit review process.

- **Resource Optimization:** By honing in on pertinent areas within their SAP system for risk investigation, the client has significantly reduced the time and resources traditionally required for audit reviews.
 - **Automated Excellence:** The shift towards intelligent task automation and strategic workflow routing has dramatically decreased the manual workload, enhancing both the effectiveness and efficiency of audit sample selection.
 - **Risk Mitigation:** The ability to quickly identify and address potential risks has streamlined the process from risk discovery to resolution, ensuring a robust compliance posture.
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COMPLIANCE MONITOR
Keep SAP productive systems
in compliance at all times

SMART AUDIT REPORT
Improve accuracy &
accelerate periodic reviews



INCIDENT APPROVAL
Use standard SAP workflow to
investigate and approve or reject
incidents

CONTROL DESIGNER
Design, implement and test your
own IT Controls - expand the
predefined control set

INDUSTRY LEADERS TAKE ACTION

This case study stands as a testament to the power of innovation in navigating the complexities of regulatory compliance. For organizations striving to achieve similar levels of efficiency and control in their compliance processes, SmartSOX offers a proven solution. We invite you to explore how SmartSOX can transform your compliance journey, providing you with the tools to achieve and maintain the highest standards of SOX compliance with unparalleled ease and precision.

Take the first step towards redefining compliance in your organization—engage with SmartSOX today and set a new standard in regulatory excellence.